

# **Smoothed Particle Hydrodynamics Method for Three-Dimensional Open Channel Flow Simulations**

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### ABSTRACT

To date, the Smoothed Particle Hydrodynamics (SPH) method has been successfully applied to reproduce the hydrodynamics behind three-dimensional flow-structure interactions. However, as soon as the effect of flow resistance becomes significant, the results obtained are not consistent with observations. This is the case for open channel flows (OCF), in which the water surface is largely influenced by the boundary friction. The roughness generated by the current boundary condition methodologies is solely numerical and cannot be associated to physical values of friction. In light of this challenge, the authors present a novel formulation for the friction boundary condition. The new implementation includes an additional shear stress at the boundaries to reproduce roughness effects, allowing for the adequate three-dimensional simulation of open channel flows using the SPH method. Finally, in order to reduce the high computational cost, typical of the Lagrangian models, without interfering in the representativeness of the SPH simulations, a criterion to define the adequate fluid particle size is proposed.

Keywords: Smoothed Particle Hydrodynamics (SPH); Open Channel Flow (OCF); Three-dimensional Simulations; Bed roughness; Boundary friction methodology; Computational Fluid Dynamics (CFD).

# **NOMENCLATURE**

| a,b      | velocity profile coefficients             | Н              | water depth                            |
|----------|---|----------------|--|
| $a_f$    | acceleration exerted by the boundary      | k              | von karman's constant                  |
|          | particles                                 | $k_s$          | nikuradse's roughness coefficient      |
| A        | subscript indicating reference particle   | п              | manning's coefficient                  |
| В        | subscript indicating neighbor particle    | т              | particle mass                          |
| Cs       | speed of sound at the reference density   | $p_{1}, p_{2}$ | exponents of the repulsive force       |
| $C_e$    | effective distance to contour coefficient | $p_i$          | pressure of particle i                 |
| $C_f$    | friction coefficient                      | q              | discharge rate per unit width          |
| Črough   | roughness coefficient                     | $\hat{r}$      | distance between fluid and boundary    |
| Cα       | viscous coefficient                       |                | particles                              |
| Da       | dimensionless discretization number       | $R_h$          | ĥydraulic radius                       |
| $d_0$    | repulsive force constant                  | $r_0$          | lennard Jones forces radius            |
| $d_e$    | effective distance from contour to        | $S_o$          | channel bed slope                      |
|          | friction force                            | $S_w$          | water surface slope                    |
| $d_x$    | interparticle distance between fluid      | V              | mean velocity in channel cross section |
|          | particles                                 | v              | velocity vector                        |
| $d_{xc}$ | boundary interparticle distance           | v*             | shear velocity                         |
| f(r)     | repulsive force of a boundary particle    | $v_{part}$     | fluid particle velocity                |
| g        | gravitational acceleration                | Ŵ              | kernel function                        |
| -        | -   |                |  |

- α artificial viscosity coefficient
- $\beta$  monaghan viscous force parameter
- $\gamma$  polytrophic index for the equation of state
- *v*<sub>t</sub> turbulent kinematic viscosity

## 1. INTRODUCTION

The Smoothed Particle Hydrodynamics (SPH) method is a Lagrangian gridless approach introduced by Lucy (1977) and Gingold and Monaghan (1977) in the late seventies. Although initially developed for compressible fluids, the method was adapted and adjusted to address hydrodynamic problems (Monaghan (1994)). The reformulation of the method provided the Computational Fluid Dynamics (CFD) community with a new technique to describe the movement of the fluids without the Eulerian models restrictions (Lui and Liu (2003)).

So far, many authors such as Gatti et al. (2007) and López et al. (2009) have successfully applied this methodology to account for hydrodynamic phenomena in their simulations, obtaining excellent outcomes when reproducing three-dimensional flow-structure interactions. However, as soon as the water surface starts being influenced by the boundary resistance, the results obtained are at odds with reality. This is the case when the SPH method is applied to simulate large open channel flow areas (Nezu and Rodi (1986)). According to López et al.(2011), the existing boundary techniques are not entirely capable of defining the water surface elevation because they do not correctly recreate three dimensional boundary roughness effects. Therefore, implementing a boundary condition that is able to reproduce the effects of flow resistance is essential for further development and use of the SPH method to simulate three-dimensional OCF scenarios

This problem was seen by Gómez-Gesteira *et al.* (2010) and López *et al.* (2011), who increased the boundary roughness by placing boundary particles outside the theoretical edge. Even though these extra boundary particles seemed to hinder the flow of fluid particles next to the boundary, they also introduced high disturbances into the flow, leading to inaccurate results. Chen *et al.* (2015) and Kazemi *et al.* (2017) also came out with innovative procedures, implementing friction in SPH for shallow water equations. Despite all the efforts, the problem remains poorly defined when, instead of adopting a depth-integrated approach, the Navier-Stokes equations are solved in the x - z plane.

The appearance of supercomputing techniques based on parallel Message Passing Interface (MPI) paradigm (Grassa (2007)) or Compute Unified Device Architecture (CUDA) (Hérault *et al.* (2010), Crespo *et al.* (2011)) has enhanced the applicability of the method by increasing the simulation extents and reducing the computation time steps. However, a large number of particles is still required in order to accurately simulate river reaches in three dimensions. This is why, in addition to the lack of an accurate method that implements friction boundary conditions in three-dimensional

- $\rho_0 \qquad \text{reference density} \qquad$
- $\rho_i$  particle density
- $\tau_b$  bottom shear stress

simulations, the high computational cost stands as the second major constrain for the use of the SPH method to reproduce OCF. In order to reduce the computational load, the continuum must be discretized with the maximum possible fluid particle size without affecting results representativity. With the purpose of finding such optimum dimensions, a similarity criterion is needed. While Froude's similarity law is used in physical model tests for designing OCF experiments, in numerical simulations, a proper criterion that guarantees hydraulic similarity has not been vet formulated.

This paper introduces a novel proposal called Boundary Friction Force Method. The new methodology implements an additional shear stress at the boundaries to reproduce roughness friction in three dimensional OCF simulations. The reader should note that the use of this procedure requires a proper interpretation of the shear stress formulation commonly used for turbulent flows. The concepts, shear velocity and average velocity, handled in Eulerian models, cannot be transposed to Lagrangian models in which particles carry the fluid properties. Thus, instead of defining shear stress with the depth-averaged velocity V, the velocity of the fluid particle that interacts with the boundary is introduced, vpart. Furthermore, the dimensionless friction coefficient  $C_f$ , which depends on the Reynolds number and the relative roughness, is substituted by a roughness coefficient Crough. The suggested coefficient allows fitting the friction condition to a desired Manning's *n* or Nikuradse's  $k_s$  roughness value, while preserving the hydraulic similarity of the new formulation.

In this investigation, the proposed methodology has been analyzed and calibrated by means of a very simple case study composed of a rectangularshaped straight channel with uniform steady flow. The simulations have been performed using the SPHERIMENTAL software developed by López and Cuellar (2011). By adopting the Lennard-Jones boundary technique and Monaghan's artificial viscosity turbulence method (Monaghan and Gingold (1983)), the analysis of the new formulation frictional effects and the influence of its characteristic parameters has been conducted. This work also gives a general formulation of the velocity and turbulent viscosity profiles as a function of two dimensionless parameters: the relative particle size,  $D_a$ , and the artificial viscosity coefficient, a. Finally, a hydraulic similarity criterion been proposed to has assure representativeness of SPH simulations in open channel flow

The paper starts with a brief introduction to the main principles of the SPH method, including some details regarding the Lennard-Jones boundary condition as well as the SPHERIMENTAL software used in this research. Then, the conceptual framework and the implementation of the Boundary Force Method are explained with an inclusive overview of the new formulation. This is followed by a description of the case study. Finally, the main findings concerning the frictional effects of the new boundary friction method and the similarity criterion are discussed.

# 2. SPH NUMERICAL MODELING FORMULATION

#### 2.1 Numerical Method

The SPH method is a Lagrangian particle approach in which the basic idea is to discretize the continuum by means of a finite number of particles. Due to the constant interaction between these particles, field values for physical variables such as pressure, density, velocity, vorticity, temperature, and position, can be obtained from discrete values of neighboring fluid particles using an averaging scheme, the socalled kernel function. To put it another way, the motion of the fluid can be perfectly described by the motion of the particles. Figure 1 sketches an example of interpolation kernel, being A the target particle and B the neighbor particles.



Fig. 1. SPH interpolation kernel sketch.

The Lagrangian form of the Navier-Stokes equations for a weakly compressible viscous fluid are transformed into a system of ordinary differential equations that are written as:

$$\frac{\mathrm{d}\rho_A}{\mathrm{d}t} = \sum_B m_B \left( v_A - v_B \right) \cdot \nabla W_{AB} \tag{1}$$

$$\frac{\mathrm{d}v_A}{\mathrm{d}t} = -\sum_B m_B \left(\frac{p_B}{\rho_B^2} + \frac{p_A}{\rho_A^2} + \Pi_{AB}\right) \cdot \nabla_A W_{AB} + g \ (2)$$

where *m* is the particle mass,  $\rho$  the density, *v* the velocity, *p* the pressure, g the gravitational acceleration, and *t* the time. Finally,  $\nabla W_{AB}$  expresses the gradient of the SPH kernel function between A and B. The additional term  $\Pi_{AB}$  from Eq. (2) symbolizes the viscous forces proposed by Monaghan and Gingold (1983) to smooth out velocity oscillations.

$$\Pi_{AB} = \begin{cases} \frac{\alpha \cdot \overline{c}_{AB} \cdot \mu_{AB}}{\overline{\rho}_{AB}} & \text{if } v_{AB} \cdot r_{AB} < 0\\ 0 & \text{if } v_{AB} \cdot r_{AB} > 0 \end{cases}$$
(3)

$$u_{AB} = \frac{h v_{AB} r_{AB}}{r_{AB}^2 + (0.1h)^2} \tag{4}$$

where  $\alpha = 0.01$  and  $\beta = 0$  are values for reproducing free-surface flows determined by Monaghan (2005), and *h* is the smoothing length that depends on the particle size  $d_x$  and the kernel function used. In this work, interpolation has been done using a Gaussian kernel, meaning that the smoothing length is three times the particle size. Generally, complex turbulence models require excessive computational cost. However, López *et al.*(2010) showed that Monaghan's artificial viscosity, defined by Eqs. (3) and (4), correctly reproduces viscous dissipation in flows with a Froude number lower than 5 without increasing too much the computational load.

Following the weakly compressible SPH method (W-SPH), the pressure field is calculated through an equation of state, Eq. (5), to relate pressure and density.

$$p_i = \frac{\rho_0 c_s^2}{\gamma} \left( \left( \frac{\rho_i}{\rho_0} \right)^2 - 1 \right)$$
(5)

where  $c_s$  is the speed of sound in the medium,  $\rho_0$  is the reference density, and  $\gamma$  is a polytrophic index which guarantees a rigid dependence between pressure and density. Monaghan (2005) suggested a value of 7 if water is to be simulated. In addition, following the indications from Monaghan (1992),  $c_s$ is ten times larger than the maximum flow velocity to ensure a fluid compressibility lower than 1%. This allows for the use of larger time steps satisfying the Courant condition.

#### 2.2 Boundary Condition

The CFD literature includes a wide range of studies concerning developed methodologies to reproduce contour geometry in SPH. In his paper, Violeau and Rogers (2016) highlight three principal techniques: a) repulsive functions such as the Lennard-Jones Forces (Lennard-Jones (1924)), b) fictitious particles, also known as ghost particles (Randles and Libersky (1996)) and c) the boundary integrals method (Kulasegaram *et al.* (2004)). All these commonly used boundary methods are adequate to contain the fluid particles inside the contour geometry, but the roughness they generate cannot be associated to physical values of friction.

Due to its lower computational cost and its better adaptation to complex geometries (López *et al.*(2016)), the Lennard-Jones repulsive forces scheme has been selected in this research for the implementation of the new Boundary Friction Force formulation. Back in 1994, Monaghan (1994) proposed the use of this method where the boundary is composed of fixed particles that exert a force over the approaching fluid particles. When the distance between the fluid and the boundary particles r, is lower than a radius of action  $r_0$ , the boundary particle exercises a radial repulsive force against the fluid particle. The value of this force per unit mass is defined by Eq. (6).

$$f\left(\overline{r}\right) = d_0 \left( \left(\frac{r_0}{r}\right)^{p_1} - \left(\frac{r_0}{r}\right)^{p_2} \right) \frac{\overline{r}}{r^2}$$
(6)

It can be seen that this force becomes zero when *r* is larger than  $r_0$ . The constant  $d_0$  has dimensions of velocity squared and allows for the regulation of the force module. Rogers and Dalrymple (2005) expressed  $d_0$  as a proportional value of  $g \cdot H$ , where H denotes water depth and g the gravitational acceleration. According to the investigation made by Lenard-Jones, values of  $p_1 = 4$  and  $p_2 = 2$  are adopted (Lennard-Jones (1924)). Finally, the authors experience has shown that taking  $r_0$  equal to the particle size leads to accurate results.

### 2.3 Spherimental

The appearance of graphic cards GPU (Graphics Processing Unit) and its massive expansion of the computation capacities has revolutionized the supercomputing world during the last decade. Following this new line of action, the Spanish Center for Public Works Studies and Experimentation (CEDEX) is developing an SPH model called SPHERIMENTAL with FORTRAN CUDA computing architecture. This software is capable of carrying out computational fluid dynamics (CFD) simulations by employing graphical processing unit computation as proposed by Hérault et al. (2010) and Crespo et al. (2011). The result is a considerable reduction of the computation complexity, which always has been the main drawback of the Lagrangian models.

Currently used as a complementary tool to physical experimentation in hydraulic studies at the Hydraulic Laboratory of CEDEX, SPHERIMENTAL is a SPH code that solves 2D and 3D Navier-Stokes equations for a monophasic and weakly compressible flow. This allows Navier-Stokes equations, Eqs. (1) and (2), to be integrated using an explicit time scheme. Integrations are computed with a Taylor Vortex Green third order Runge-kutta numerical scheme.

# 3. BOUNDARY FRICTION FORCE METHOD

The Boundary Friction Force method proposed in this paper adds a complementary shear stress at the boundaries to reproduce roughness friction. The approach implements an acceleration, opposite to the velocity vector, to those fluid particles interacting with the boundaries. Regardless of the boundary condition technique used to materialize the boundaries (repulsive functions, ghost particles or boundary integrals) the novel approach ensures the adequate three-dimensional simulation of open channel flows using the SPH method.

#### 3.1 Shear Stress and Particle Acceleration

The use of the new procedure requires a proper

interpretation of the shear stress formulation commonly used for turbulent flows. Generally, as shown in Eq. (7), the bottom shear stress or bed resistance is expressed as a function of the so-called shear velocity  $v_*$ , or the square of the depth-averaged velocity V and a dimensionless friction coefficient  $C_f$ .

$$\tau_b = \rho v_*^2 = \rho C_f V^2 \tag{7}$$

Both concepts, shear velocity  $v_*$ , and depthaveraged velocity V, are easily handled in Eulerian models. However, they cannot be transposed to Lagrangian models in which particles carry the fluid properties. This is why, instead of defining shear stress as written in Eq. (7), the velocity of the fluid particle that interacts with the boundary is used. Such velocity is denoted in this paper as  $v_{part}$  and it is responsible of the additional friction acceleration adopted for this new boundary method. Equation (8) provides a dimensionally correct expression for the shear stress:

$$\tau_{f,SPH} = \rho v_*^2 = \rho C_{rough} v_{part}^2 \tag{8}$$

Taking into account the force of flowing water acting on the bed and Newton's Second Law, the acceleration exerted by the boundary particles over the fluid particles yields:

$$a_f = \frac{C_{rough}}{d_x} v_{part}^2 \tag{9}$$

where  $C_{rough}$  is the proposed roughness coefficient, and  $d_x$  corresponds to the fluid particle size.

# 3.2 Free-Surface Uniform Steady Flow

The free-surface uniform steady flow has been subject of numerous investigations. Thus, its complete description considering the channel bed as the only source of friction is fully available in the scientific literature, providing a very appropriate framework for the calibration of the method. Since SPHERI-MENTAL solves the Navier-Stokes equations in the x - z plane, the results obtained in the case study test could be extrapolated to three-dimensional simulations by implementing the same boundary friction condition for the walls.

By definition, in uniform steady flows, the flow depth does not vary in time at every cross section, being, at the same time, constant along the flow direction. Because the acceleration is zero, flow depth and velocity remain constant throughout the entire channel length. Hence, the free surface slope  $S_w$  is equal to the bed slope  $S_{\theta}$ , and the dynamic equilibrium equation then reduces to an algebraic equation of the equilibrium between the downslope component of the fluid weight and the boundary resistance:

$$S_w = \frac{\tau_b}{\rho g R_h} = \frac{\partial z}{\partial x} = S_0 \tag{10}$$

where  $S_w$  is the water surface slope,  $S_0$  is the channel bottom slope,  $\tau_b$  is the bottom shear stress,  $R_b$  is the hydraulic radius, and x and z are the

longitudinal and vertical spatial dimensions respectively. The friction slope can also be calculated using the widely used Manning's equation, Eq. (11):

$$S_w = \frac{n^2 V^2}{R_h^{4/3}}$$
(11)

Schilichting (1979), Nezu and Rodi (1986) and García (2008) established that if the above-listed conditions are met, the velocity profile along the flow direction can be fitted to a logarithmic law, according to the equation:

$$\frac{v(z)}{v_*} = \frac{1}{k} \cdot ln\left(\frac{z}{k_s}\right) + 8.5 \tag{12}$$

where v(z) denotes the velocity at z distance from the channel bottom, k is a constant equal to 0.4 named after Von Karman,  $v_*$  is shear velocity and  $k_s$ is the roughness height defined by Nikuradse (Nikuradse (1933)). Integration of Eq. (12) over the depth yields the following expression for the depthaveraged velocity V:

$$V = \frac{v_*}{k} \cdot ln\left(\frac{11R_h}{k_s}\right) \tag{13}$$

In steady, uniform flow, the forcing and the resistance are in balance. Using the dynamic equilibrium equation and assuming that  $R_h \approx H$ , the bed shear stress profile in a fully developed flow yields:

$$\tau(z) = \rho g (H - z) S_0 \tag{14}$$

Finally, Nikuradse's roughness height,  $k_s$ , can be related to the Manning's coefficient by Eq. (15):

$$k_s = 11 \cdot R_h \cdot e^{-\left(\frac{kR_h^{1/6}}{n \cdot g^{1/2}}\right)}$$
(15)

# 3.3 Roughness Coefficient

The formulation presented in Eq. (9) shows how the applied acceleration relates to the square of the particle velocity, the fluid particle size and the proposed roughness coefficient. Nonetheless, the equation does not give the proper insight into the distance at which this acceleration should be applied to obtain the same roughness effects as the observations or into how the friction condition can be fitted to a desired Manning's or Nikuradse's roughness value.

In the Eurelian turbulent flow theory, the friction coefficient depends on two dimensionless parameters, Eq. (16). The relative roughness which relates bottom roughness size  $k_s$ , to water depth H, and the Reynolds number, which associates viscous forces to inertia forces.

$$\tau_b = \rho V^2 \Psi \left( \frac{k_s}{H}, \frac{VH}{v} \right) \tag{16}$$

Following a similar approach, and in means of assuring the hydraulic similarity of the new formulation, the roughness coefficient *Crough* also

depends on two dimensionless numbers, the dimensionless discretization number  $D_a$ , which is associated with geometrical dimensions similar to the relative roughness, and Monaghan's artificial viscosity parameter  $\alpha$ .

$$C_{rough} = \Psi(D_a, \alpha) \tag{17}$$

López *et al.* (2016) pointed out that a proper fluid particles discretization is very important in order to obtain good results in numerical simulations. Once the steady-state regime condition is reached, H becomes constant and it is possible to check the discretization suitability by a dimensionless number,  $D_a$ :

$$D_a = \frac{d_x}{H} \tag{18}$$

Considering a steady-state regime, the velocity profile can be expressed as a function of two coefficients *a* and *b*:

$$v(z) = a \cdot ln\left(\frac{z}{k_s}\right) + b \tag{19}$$

In general, when modeling a river reach, the flows of interest have high Reynolds numbers, so that viscous effects can be ignored, leaving the inertial effects as principal cause of the momentum transfer. As such, the bed shear stress for turbulent flows can also be expressed as:

$$\tau(z) = \rho v_t \frac{dv}{dz} \tag{20}$$

where  $v_t$  refers to the kinematic turbulent viscosity. Considering Eqs. (19), (14) and (20), and assuming a hydraulic radius equal to the water depth, the kinematic turbulent viscosity presents a parabolic profile defined in the following equation, Eq. (21):

$$v_t(z) = \frac{gS_0}{a} (H - z) \cdot z \tag{21}$$

In steady-state flow with known discharge rate, q, and depth, H, the depth-averaged velocity can also be calculated. Thus, the *b* coefficient from Eq. (19) yields:

$$b = V - a \cdot \left( ln \frac{R_h}{k_s} - 1 \right) \tag{22}$$

Once the velocity profile has been obtained, the effective distance from boundary to resultant friction shear stress  $d_e$ , can be determined:

$$\tau (H - d_e) = \rho g (H - d_e) S_0 = \rho C_{rough} v_{part}^2 =$$
$$= \rho C_{rough} \left( a \cdot ln \frac{d_e}{k_s} + b \right)^2$$
(23)

Figure 2 illustrates the mentioned effective distance  $d_e$ . Since the interest lies upon developing a formulation based on the discretization number and thus, the fluid particle size, a the dependence between  $d_e$  and the particle discretization size  $d_x$ , Eq. (24) has been proposed. The equation allows to

obtain the value of the effective distance,  $d_e$ , as a function of  $d_x$  by means of a coefficient  $C_e$ .

$$d_e = C_e \cdot d_x \tag{24}$$



Once the velocity and the turbulence profiles are known, it is possible to obtain the formulation that gives the roughness coefficient. This coefficient is assigned to contour particles to reproduce flow resistance associated with a given Nikuradse's  $k_s$  or Manning's *n* coefficients. From the shear stress equilibrium proposed in Eq. (23) and taking into account Eq. (11), a value for the new friction coefficient is obtained, Eq. (25).

$$C_{rough} = \frac{g(H - C_e d_x)}{H^{4/3}} n^2 \left( \frac{a \left( ln \frac{H}{k_s} - 1 \right) + b}{a \left( ln \frac{C_e d_x}{k_s} \right) + b} \right)^2$$
(25)

# 4. OPEN CHANNEL FLOW CASE STUDY

The proposed methodology has been analyzed and calibrated by means of a very simple case study composed of a rectangular-shaped straight channel with uniform steady flow and considering the channel bed as only source of friction. In order to properly characterize that bottom friction, experiments have been designed in supercritical regime. As such, it is assured that downstream boundary conditions do not affect the water surface levels up-stream. The supercritical regime conditions have been achieved for all tested flow rates by adopting a 1% channel bed slope.

To produce useful outcomes for the comparison and calibration of the methodology with the theoretical values, an uniform steady flow must be guaranteed for all the simulations. With this regard, the simulations were kept running until the out-flow rates equalized the inflows to ensure a constant flow rate in the entire channel length. Furthermore, the cross section was maintained constant along the entire tested channel. Lastly, to comply with the uniform condition, the tested channel longitudes were accommodated for each test with the purpose of reaching the equilibrium between water weight, viscous forces and bottom friction. Depending on the flow rate q, the upstream water depth H and the bottom friction n (or  $k_s$ ), lengths varied from 400m up to 1500m.

Finally, the fluid particle size,  $d_x$ , and the distance between boundary particles,  $d_{xc}$ , have been set to 0.1m and 0.04m respectively for all the simulations. The motivation behind choosing  $d_{xc}$  to be slightly smaller than half of  $d_x$  lies in the fact that a uniform boundary force field and the prevention of fluid particles leakage must be guaranteed for the correct performance of the SPH model.

# 5. RESULTS AND DISCUSSIONS

In this section, the results obtained in the calibration tests using the described case study are presented. First, the flow resistance generated purely by the Lennard-Jones forces has been studied. Then, the influence the dimensionless discretization number  $D_a$  and the viscous parameter  $\alpha$  have over the suggested roughness coefficient are analyzed. Lastly, and in accordance with the results obtained from those dependence studies, a similarity criterion based on Von Karman's constant is proposed.

### 5.1 Flow Resistance Due To Lennard-Jones Forces

The first tests have been carried out solely considering the Lennard-Jones forces, to quantify the resistance to the flow exerted by the commonly used boundary technique. The parameters from Eq. (6) have been taken as  $d_0 = 10$ ,  $p_1 = 4$ , and  $p_2 = 2$ , with  $r_0$  equal to fluid particles size,  $d_x$ .

Figure 3 shows the velocity and water depth profiles obtained when a unitary flow rate  $q = 10m^3/s/m$  is simulated in the described channel. Despite the large channel length adopted, the flow presents a constant acceleration, reaching high velocities without achieving a steady-state regime. This implies that the boundary roughness is basically zero, which is not realistic for bottom friction in open channel flow. Consequently, it has been concluded that the boundary roughness reproduced by the Lennard-Jones repulsive forces is too weak to cause the adequate resistance to flow.

# 5.2 Roughness Coefficient Dependence Studies

As stated in Eq. (18), Crough depends on two dimensionless numbers, the discretization number  $D_a$ , and the artificial viscous parameter  $\alpha$ . The developed tests have shown a clear impact of both parameters on the simulations results. This is the reason why their effect has been investigated.

In order to study the influence of fluid discretization  $D_a$ , forty five simulations have been conducted. Keeping Monaghan's artificial viscosity coefficient



steady depth H = 2.35m: Manning's roughness n = 0.0212.

constant and equal to  $\alpha = 0.01$  in all tests, different roughness values, varying from 0.0005 to 0.01, have been applied. Each experiment required several repetitions in which the initial depth, the channel length and simulation time were modified until the steady-state flow regime was achieved. Out-comes from all the performed tests are summarized in Table 1. Cross sections along the channel distributed each half a meter measured the mean velocity, flow rate, momentum, average density and velocity profiles of every test. Figure 4 displays the graphical results obtained from an example test with an unitary flow rate equal to  $q = 20m^3/s/m$  and  $C_{rough} = 0.008$ . The velocity profile along the channel axis shows that at least a 600m channel was necessary to reach the

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|----|--------------|------------|---------------|------------|---------|
|----|--------------|------------|---------------|------------|---------|

| Crough | q (m²/s) | H (m) | Da    | v* (m/s) | n (m <sup>1/6</sup> ) | ks (mm) | а    | b        | Ce   |
|--------|----------|-------|-------|----------|-----------------------|---------|------|----------|------|
| 0,0005 | 5        | 0,570 | 0,175 | 0,236    | 0,00779               | 0,00006 | 0,12 | 7,80     | 1,75 |
| 0,0005 | 10       | 0,860 | 0,116 | 0,290    | 0,00794               | 0,00007 | 0,31 | 8,70     | 2,17 |
| 0,0005 | 20       | 1,296 | 0,077 | 0,356    | 0,00778               | 0,00006 | 0,65 | 9,30     | 2,02 |
| 0,0005 | 40       | 1,943 | 0,051 | 0,436    | 0,00802               | 0,00007 | 0,85 | 9,00     | 4,83 |
| 0,0005 | 60       | 2,446 | 0,041 | 0,490    | 0,00791               | 0,00007 | 1,44 | 9,20     | 2,30 |
| 0,0005 | 80       | 2,958 | 0,034 | 0,538    | 0,00789               | 0,00006 | 1,81 | 9,30     | 1,60 |
| 0,001  | 2        | 0,401 | 0,250 | 0,198    | 0,01107               | 0,00049 | 0,11 | 4,30     | 1,61 |
| 0,001  | 5        | 0,697 | 0,143 | 0,261    | 0,01103               | 0,00048 | 0,23 | 5,65     | 1,92 |
| 0,001  | 10       | 1,030 | 0,097 | 0,318    | 0,01103               | 0,00048 | 0,40 | 6,60     | 2,05 |
| 0,001  | 20       | 1,577 | 0,063 | 0,393    | 0,0109                | 0,00045 | 0,83 | 6,40     | 2,16 |
| 0,001  | 40       | 2,324 | 0,043 | 0,477    | 0,0107                | 0,00040 | 1,29 | 6,50     | 1,89 |
| 0,001  | 60       | 2,859 | 0,035 | 0,529    | 0,01031               | 0,00032 | 1,95 | 3,80     | 1,83 |
| 0,001  | 80       | 3,327 | 0,030 | 0,571    | 0,01009               | 0,00028 | 1,85 | 6,25     | 1,35 |
| 0,001  | 120      | 4,133 | 0,024 | 0,636    | 0,0098                | 0,00024 | 3,07 | -0,80    | 1,89 |
| 0,002  | 2        | 0,490 | 0,204 | 0,219    | 0,01547               | 0,00364 | 0,13 | 3,50     | 1,69 |
| 0,002  | 5        | 0,836 | 0,120 | 0,286    | 0,0151                | 0,00315 | 0,32 | 4,43     | 1,77 |
| 0,002  | 10       | 1,282 | 0,078 | 0,355    | 0,01513               | 0,00319 | 0,47 | 5,45     | 1,63 |
| 0,002  | 20       | 1,909 | 0,052 | 0,432    | 0,0148                | 0,00279 | 0,92 | 5,30     | 1,75 |
| 0,002  | 40       | 2,770 | 0,036 | 0,521    | 0,01382               | 0,00185 | 1,49 | 4,78     | 1,87 |
| 0,002  | 60       | 3,314 | 0,030 | 0,570    | 0,01286               | 0,00120 | 2,49 | 0,10     | 1,53 |
| 0,002  | 80       | 3,747 | 0,027 | 0,606    | 0,01261               | 0,00107 | 2,63 | 0,52     | 1,81 |
| 0,002  | 120      | 4,431 | 0,023 | 0,659    | 0,01187               | 0,00074 | 3,06 | -1,08    | 1,65 |
| 0,003  | 1        | 0,363 | 0,276 | 0,188    | 0,0185                | 0,01066 | 0,09 | 2,53     | 1,30 |
| 0,003  | 2        | 0,548 | 0,182 | 0,232    | 0,01865               | 0,01119 | 0,17 | 3,10     | 1,63 |
| 0,003  | 5        | 0,935 | 0,107 | 0,303    | 0,01811               | 0,00938 | 0,40 | 3,82     | 1,76 |
| 0,003  | 10       | 1,392 | 0,072 | 0,369    | 0,01738               | 0,00733 | 0,74 | 3,97     | 1,74 |
| 0,004  | 1        | 0,392 | 0,255 | 0,196    | 0,0213                | 0,02482 | 0,08 | 2,35     | 0,28 |
| 0,004  | 2        | 0,593 | 0,169 | 0,241    | 0,0213                | 0,02482 | 0,17 | 2,95     | 1,58 |
| 0,004  | 5        | 0,999 | 0,100 | 0,313    | 0,0203                | 0,01860 | 0,47 | 3,60     | 1,49 |
| 0,004  | 10       | 1,468 | 0,068 | 0,379    | 0,0192                | 0,01332 | 0,84 | 3,60     | 1,56 |
| 0,004  | 20       | 2,172 | 0,046 | 0,461    | 0,01845               | 0,01048 | 1,24 | 3,70     | 1,45 |
| 0,004  | 40       | 3,137 | 0,032 | 0,554    | 0,0169                | 0,00619 | 2,04 | 2,30     | 1,37 |
| 0,004  | 120      | 6,131 | 0,016 | 0,775    | 0,01662               | 0,00560 | 3,95 | -3,30 2, | 2,69 |
| 0,006  | l        | 0,447 | 0,224 | 0,209    | 0,0261                | 0,08403 | 0,10 | 2,15     | 1,48 |
| 0,006  | 5        | 1,103 | 0,091 | 0,329    | 0,02381               | 0,04843 | 0,50 | 3,45     | 1,38 |
| 0,006  | 10       | 1,578 | 0,063 | 0,393    | 0,02176               | 0,02822 | 1,00 | 3,25     | 1,40 |
| 0,006  | 20       | 2,330 | 0,043 | 0,478    | 0,0204                | 0,01916 | 1,74 | 2,00     | 1,83 |
| 0,006  | 40       | 3,319 | 0,030 | 0,570    | 0,0186                | 0,01101 | 2,25 | 1,50     | 1,40 |
| 0,006  | 120      | 6,348 | 0,016 | 0,789    | 0,0175                | 0,00764 | 3,97 | -3,90    | 2,30 |
| 0,008  | 5        | 1,167 | 0,086 | 0,338    | 0,0261                | 0,08403 | 0,56 | 3,30     | 1,27 |
| 0,008  | 10       | 1,698 | 0,059 | 0,408    | 0,024                 | 0,05080 | 1,20 | 2,90     | 1,65 |
| 0,008  | 20       | 2,354 | 0,042 | 0,480    | 0,0212                | 0,02413 | 1,68 | 2,40     | 1,29 |
| 0,01   | 5        | 1,219 | 0,082 | 0,346    | 0,028                 | 0,12810 | 0,60 | 3,28     | 1,18 |
| 0,01   | 10       | 1,771 | 0,056 | 0,417    | 0,0257                | 0,07659 | 1,32 | 2,85     | 1,77 |
| 0,01   | 20       | 2,425 | 0,041 | 0,487    | 0,0221                | 0,03097 | 1,86 | 1,90     | 1,39 |

Table 1 Summary of the tests performed with the artificial viscosity parameter  $\alpha = 0.01$ 

uniform steady flow. The flow rate graph certifies that the steady state flow has been achieved at the downstream channel sections with a stabilized uniform depth of H = 2.35m. Finally, the momentum along the channel axis and the section average density remain constant for the achieved steady regime.

The influence of the discretization degree can be appreciated in Fig. 5, where the values from Table 1 relating Manning's and Nikuradse's coefficients with the dimensionless discretization number  $D_a$ have been drawn. To facilitate the interpretation of the graph, tests with same  $C_{rough}$  have been grouped by colors. In addition, curves for each  $C_{rough}$  value have been plotted, choosing  $C_e$  as the mean value of all simulations ( $C_e = 1.74$ ). Good fit can be observed.

A more detailed analysis of the results from Table 1 shows a direct relation between the velocity profile obtained from SPH simulation, expressed in terms of the ratio  $a/v_*$  and the discretization number  $D_a$  (see Fig. 6). By fitting the experimental values to a potential function, the following mathematical relationship has been obtained:



Manning's n

Fig. 5. Crough curves relating Manning's n coefficient and Da discretization dimensionless number.





$$a = \frac{v_*}{8 \cdot D_a} \tag{26}$$

Taking into account this dependence, and comparing the coefficient a from Eq.(19) with the theoretical parameters from the free-surface uniform steady flow velocity profile, a relation between Von Karman's constant and the discretization has been discovered:

$$\frac{1}{k} = \frac{1}{8 \cdot D_a} \tag{27}$$

Since Von Karman's constant is equal to k = 0.4, a discretization number  $D_a = 0.05$  makes true the previous equation. It is concluded that when an

artificial viscous parameter  $\alpha = 0.01$  is used for simulating open channel flow with SPH, fluid particles sizes equal to  $d_x = H \cdot 0.05$  guarantees the results representativeness.

However, as soon as the artificial viscosity value changes, Eq. (27) is no longer valid. For that reason, the dependence between  $C_{rough}$  and the viscosity has also been investigated. To do so, a new set of simulations with different  $\alpha$  coefficients has been carried out. Results are summarized in Table 2 and plotted in Fig. 7a. By grouping the performed tests with the same  $\alpha$  coefficient, it is possible to perform an analysis similar to the one already done for the first series of tests. For each test group the same adjustment depending on a  $C_{\alpha}$  value, Eq. (28), has

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Fig. 7. Test with different viscosity coefficients  $\alpha$ . a) Relationship between  $a/v_*$  and  $D_a$  to each  $\alpha$  values. b) Relationship between  $\alpha$  and  $C_{\alpha}$ .

| α      | $C_{\text{rough}}$ | q (m²/s) | H (m) | v*    | а     | Da    | a/v*  |
|--------|--------------------|----------|-------|-------|-------|-------|-------|
| 0      | 0,002              | 20       | 1,460 | 0,378 | 1,800 | 0,068 | 4,759 |
| 0      | 0,004              | 5        | 0,715 | 0,265 | 0,734 | 0,140 | 2,774 |
| 0      | 0,004              | 2        | 0,487 | 0,219 | 0,378 | 0,205 | 1,730 |
| 0,0001 | 0,002              | 20       | 1,445 | 0,376 | 1,787 | 0,069 | 4,749 |
| 0,0001 | 0,004              | 5        | 0,726 | 0,267 | 0,734 | 0,138 | 2,752 |
| 0,0001 | 0,004              | 2        | 0,492 | 0,220 | 0,370 | 0,203 | 1,686 |
| 0,0001 | 0,001              | 40       | 2,095 | 0,453 | 3,329 | 0,048 | 7,348 |
| 0,001  | 0,002              | 20       | 1,571 | 0,392 | 1,994 | 0,064 | 5,082 |
| 0,001  | 0,004              | 5        | 0,835 | 0,286 | 0,809 | 0,120 | 2,826 |
| 0,001  | 0,004              | 2        | 0,510 | 0,223 | 0,365 | 0,196 | 1,631 |
| 0,001  | 0,001              | 40       | 2,183 | 0,463 | 2,821 | 0,046 | 6,098 |
| 0,003  | 0,002              | 20       | 1,718 | 0,410 | 1,644 | 0,058 | 4,007 |
| 0,003  | 0,004              | 5        | 0,910 | 0,299 | 0,659 | 0,110 | 2,205 |
| 0,003  | 0,004              | 2        | 0,560 | 0,234 | 0,277 | 0,179 | 1,181 |
| 0,003  | 0,001              | 40       | 2,282 | 0,473 | 2,442 | 0,044 | 5,163 |
| 0,005  | 0,002              | 20       | 1,763 | 0,416 | 1,345 | 0,057 | 3,235 |
| 0,005  | 0,004              | 5        | 0,946 | 0,304 | 0,519 | 0,106 | 1,705 |
| 0,005  | 0,004              | 2        | 0,579 | 0,238 | 0,239 | 0,173 | 1,005 |
| 0,005  | 0,001              | 40       | 2,293 | 0,474 | 2,046 | 0,044 | 4,316 |
| 0,02   | 0,002              | 20       | 1,912 | 0,433 | 0,556 | 0,052 | 1,285 |
| 0,02   | 0,004              | 5        | 1,035 | 0,318 | 0,261 | 0,097 | 0,818 |
| 0,02   | 0,004              | 2        | 0,600 | 0,243 | 0,107 | 0,167 | 0,439 |
| 0,02   | 0,001              | 40       | 2,397 | 0,485 | 0,822 | 0,042 | 1,696 |
| 0,03   | 0,002              | 20       | 1,981 | 0,441 | 0,404 | 0,050 | 0,916 |
| 0,03   | 0,004              | 5        | 1,045 | 0,320 | 0,166 | 0,096 | 0,517 |
| 0,03   | 0,004              | 2        | 0,596 | 0,242 | 0,069 | 0,168 | 0,286 |
| 0,03   | 0,001              | 40       | 2,506 | 0,496 | 0,564 | 0,040 | 1,138 |

Table 2 Summary of the tests done to analyze the influence of the artificial viscosity parameter  $\alpha$ 

been found. Hence, it can be seen that Eq. (28) is a generalization of Eq. (26) for different viscosity values.

Figure 7b shows the dependency between a and  $\alpha$  through the coefficient  $C_{\alpha}$ . The obtained lineal adjustment for such parameter is presented in Eq. (29).

$$a = \frac{v_*}{C_{\alpha} D_a} \tag{28}$$

$$C_{\alpha} = 550\alpha + 2.5$$
 (29)



Fig. 8. a)  $C_a D_a = 1.17$ .  $C_{rough} = 0.001$ ;  $q = 5m^3/s$ ; H = 0.70m;  $n = 0.01103m^{1/6}$ ; b)  $C_a D_a = 0.35$ .  $C_{rough} = 0.001$ ;  $q = 40m^3/s$ ; H = 2.32m;  $n = 0.0107m^{1/6}$ ; c)  $C_a D_a = 0.18$ .  $C_{rough} = 0.001$ ;  $q = 120m^3/s$ ; H = 4.13m;  $n = 0.0098m^{1/6}$ .

### 5.3 Similarity Criterion

According to the simulations results shown in Table 1 and Table 2, and the expressions obtained from the dependence analysis, a new similarity criterion has been developed. Following the relation suggested in Eq. (28), it yields that the Von Karman's constant must be equal to the product between  $C_{\alpha}$  and  $D_{\alpha}$  as shown in Eq. (30):

$$\frac{C_{\alpha}D_a}{k} = 1 \tag{30}$$

Figure 8 shows the importance of selecting an adequate particle size or artificial viscosity parameter. When the proposed similarity criterion is met, the accordance between the velocity profile obtained with the SPH numerical simulation and the logarithmic velocity profile that results from the theory of turbulent boundary layer flow (Eq. (12)) is guaranteed.

On the one hand, if  $C_{\alpha} \cdot D_{\alpha} > k$ , SPH model simulations become excessively turbulent. It can be seen in Fig. 8a how the velocity profile homogenizes faster than the theoretical profile for high values of  $D_a$  numbers (or low discretization of the continuum). On the other hand, when  $C_{\alpha} \cdot D_a \approx$ k, both the results from the SPH model velocity profile and the kinematic turbulent viscosity law agreed with the theoretical ones (Fig. 8b). By selecting a lower coefficient of viscosity  $\alpha$ , a large  $D_a$  number is required to maintain this proportionality. Thus, the relative size of SPH particles increases. This means that by using low  $\alpha$ values, an open channel flow case is correctly reproduced with a lower computational cost. However, it should also be noted that the the stability introduced by the viscous term is lost when low coefficients are used. Finally, if  $C_{\alpha} \cdot D_a < k$ , SPH simulations not only provide lower viscosity

than the theoretical parabolic model but also velocity profiles that are smoother than the profiles suggested by the theoretical knowledge (Fig. 8c).

The relationship pointed out in Eq. (30) allows the presetting the SPH model. If the flow rate and the approximate water depth are known, it is possible to determine the fluid particle size and the viscosity coefficient to be used in SPH simulation. This is extremely important for optimizing these simulations. In order to clarify the resolution process according to the recommended similarity criterion, the flow chart displayed in Fig. 9 is presented.

### 6. CONCLUSIONS

Up to this day, the lack of a boundary methodology capable of correctly recreating boundary roughness effects has hindered the use of the SPH method to simulate three-dimensional open channel flow scenarios, in which the water surface is largely influenced by the boundary friction. As part of this investigation, it was demonstrated how the boundary roughness reproduced by one of the most widely used boundary techniques, the Lennard-Jones repulsive forces, is too weak to offer an adequate resistance to flow.

In this paper, the authors have presented an original formulation to reproduce flow resistance in open channel flow using SPH. The Boundary Friction Force method implements contour shear stress by adding an extra acceleration to the fluid particles interacting with the boundaries. Furthermore, the suggested roughness coefficient  $C_{rough}$  allows to fit the friction condition to a desired Manning's n or Nikuradse's  $k_s$  roughness value, increasing the applicability of the approach.



Fig. 9. Crough calculation flow chart in accordance with the similarity criterion.

The proposed method has been tested and calibrated by means of a very simple case study composed of a rectangular-shaped straight channel with uniform steady flow. Although the formulation can be adapted to any of the current boundary methodologies used to materialize the boundaries, only the Lennard-Jones repulsive forces scheme has been selected in this research due to its lower computational cost and its better adaptation to complex geometries. Future investigations could be dealing with the implementation of the method to those remaining boundary techniques.

Finally, the presented work offers a general formulation for the velocity and turbulent viscosity profiles that will be obtained in a three-dimensional SPH simulations as a function of two dimensionless parameters: the relative particle size,  $D_a$ , and the artificial viscosity coefficient,  $\alpha$ . The influence those parameters have on the results of the simulations has been analyzed, leading to the formulation

of a novel hydraulic similarity criterion which guarantees representativeness of SPH simulations in open channel flow.

To sum up, this research gives solution to two of the main drawbacks of using the SPH method to reproduce three-dimensional OCF scenarios. First, introduces a new boundary friction condition that enables the adequate simulation of roughness effects, and second, proposes a similarity criterion which helps reducing the computational load and guarantees the simulation representativeness.

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